



**INSTITUTE OF
CHARTERED COMPLIANCE OFFICERS**

Programme Prospectus

ICCO Certificate in Know Your Customer and Customer Due Diligence

Programme Structure

The course will be conducted via a one day 6-hour virtual learning workshop facilitated by experienced compliance trainers.

Content Outline

The following topics will be covered in the programme:

- ✓ ID&V customer
- ✓ Beneficial owner and relevant parties
- ✓ Screening
- ✓ Risk assessment
- ✓ Ongoing due diligence

Programme Objectives and Outcomes

At the end of the programme, learners will be able to:

- ✓ Identify and verify the customer
- ✓ Identify and verify the identity of the beneficial owner and relevant parties (eg. authorised signatories, connected parties having executive authority)
- ✓ Perform screening against internal and external watch lists
- ✓ Conduct risk assessments of customer relationship
- ✓ Conducting ongoing due diligence on the customer relationship