



**INSTITUTE OF  
CHARTERED COMPLIANCE OFFICERS**

**Programme Prospectus**

**ICCO Certificate in Regulatory Compliance and Advisory**

Programme Structure

The course will be conducted via a one day 6-hour virtual learning workshop facilitated by experienced compliance trainers.

Content Outline

The following topics will be covered in the programme:

- ✓ Laws and regulations
- ✓ Compliance policies and procedures
- ✓ Compliance advisory
- ✓ Engagement with regulators

Programme Objectives and Outcomes

At the end of the programme, learners will be able to:

- ✓ Identify and interpret regulatory knowledge and best practices
- ✓ Establish compliance procedures for business practices, policies and processes to meet regulatory requirements
- ✓ Provide advice to business stakeholders on compliance matters
- ✓ Facilitate decision making and engage with regulatory bodies to manage issues